



<b>FTP, SMTP, Telnet, HTTP,...</b>
<b>TCP, UDP</b>
<b>IP, ARP, ICMP</b>
<b>Network Interface</b>

## 9. TCP/IP

Reference: Charles L. Hedrick, “Introduction to the Internet Protocols”, Rutgers University, <http://oac3.hsc.uth.tmc.edu/staff/snewton/tcp-tutorial/>



## A. What is TCP/IP?

- **TCP/IP is a set of protocols** developed to allow cooperating computers to share resources across a network
- **TCP** stands for “Transmission Control Protocol”
- **IP** stands for “Internet Protocol”
- They are **Transport layer** and **Network layer** protocols respectively of the protocol suite
- The most well known network that adopted TCP/IP is **Internet** – the biggest WAN in the world



## What is a protocol?

- A **protocol** is a collection of **rules** and **procedures** for two computers to exchange information
- Protocol also defines the **format of data** that is being exchanged



## Why TCP/IP is so popular?

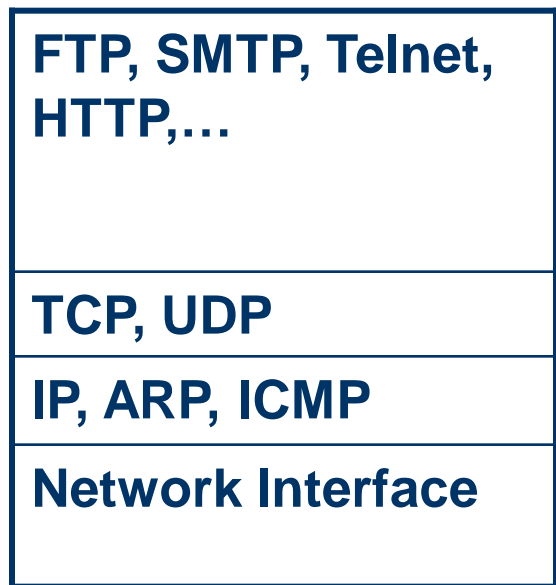
- TCP/IP was developed very **early**
- Technologies were widely discussed and circulated in documents called “**Request for Comments**” (RFC) – free of charge
- Supported by **UNIX** operating system



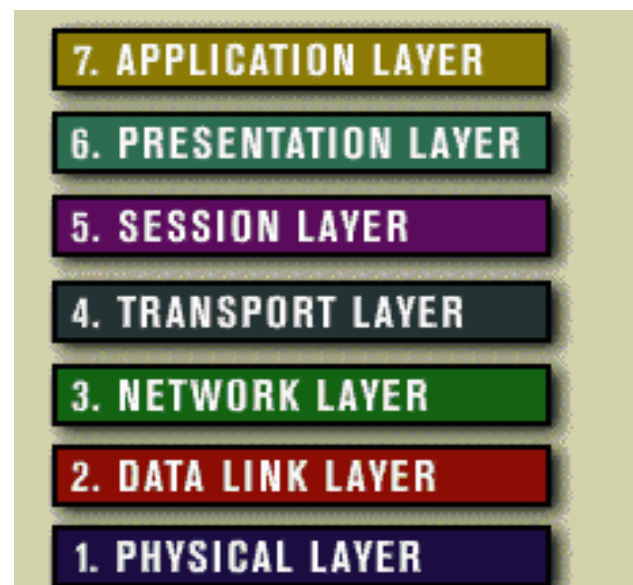
# TCP/IP Model

- Because TCP/IP was developed earlier than the OSI 7-layer model, it does not have 7 layers but only **4 layers**

## TCP/IP Protocol Suite



## OSI 7-layer





9. TCP/IP

- **Application layer protocols** define the rules when implementing specific network applications
- Rely on the underlying layers to provide accurate and efficient data delivery
- Typical protocols:
  - **FTP** – File Transfer Protocol
    - For file transfer
  - **Telnet** – Remote terminal protocol
    - For remote login on any other computer on the network
  - **SMTP** – Simple Mail Transfer Protocol
    - For mail transfer
  - **HTTP** – Hypertext Transfer Protocol
    - For Web browsing



9. TCP/IP

- TCP/IP is built on “**connectionless**” technology, each datagram finds its own way to its destination
- Transport Layer protocols define the rules of
  - **Dividing a chunk of data into segments**
  - **Reassemble segments into the original chunk**
- Typical protocols:
  - **TCP** – Transmission Control Protocol
    - Provide further the functions such as reordering and data resend
  - **UDP** – User Datagram Service
    - Use when the message to be sent fit exactly into a datagram
    - Use also when a more simplified data format is required



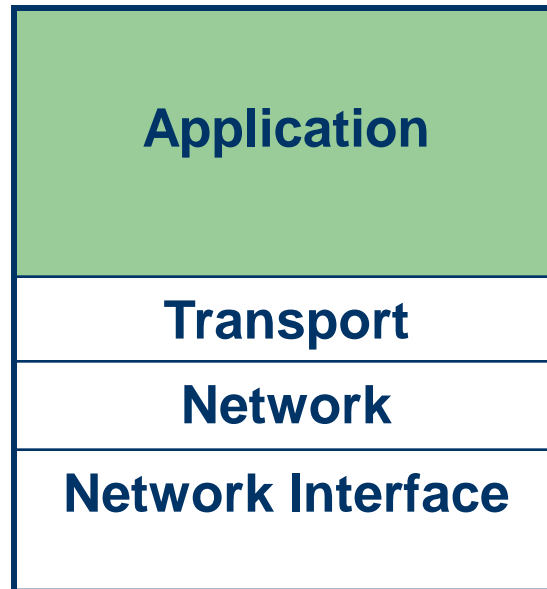
9. TCP/IP

- Network layer protocols define the rules of how to find the **routes** for a packet to the destination
- It only gives **best effort delivery**. Packets can be delayed, corrupted, lost, duplicated, out-of-order
- Typical protocols:
  - **IP** – Internet Protocol
    - Provide packet delivery
  - **ARP** – Address Resolution Protocol
    - Define the procedures of network address / MAC address translation
  - **ICMP** – Internet Control Message Protocol
    - Define the procedures of error message transfer



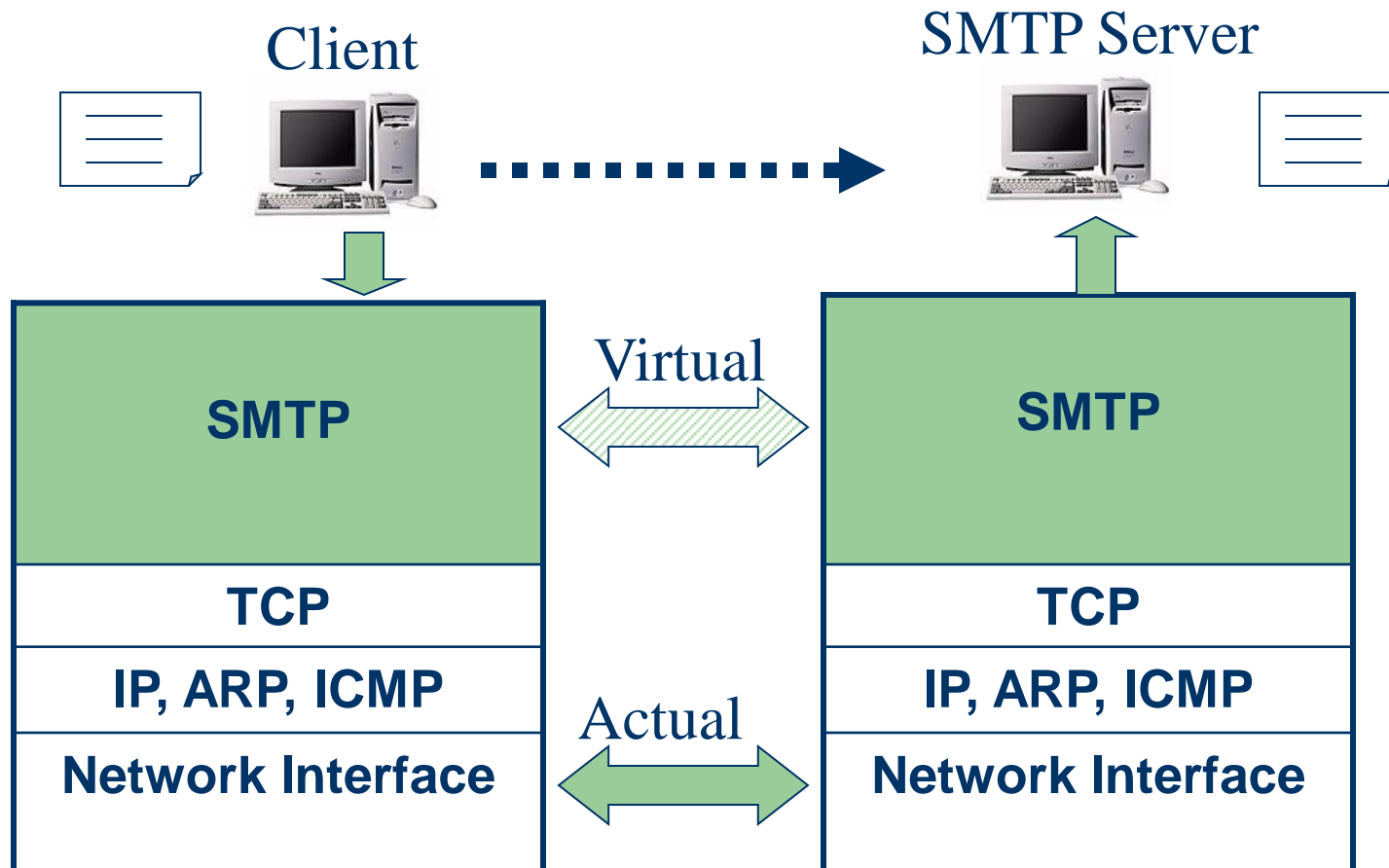


# Application Layer





# B. Example: SMTP





- **The underlying layers have guaranteed accurate data delivery**
- **We need to make a lot agreements with the server in application layer before sending mail**

1. **Agree on how data is represented**
  - **Binary or ASCII**
2. **Ensure the right recipient**
  - **There may be 1000 users served by the server**
3. **Ensure the client has the right to send mail**
  - **Some clients are not welcome**
4. **How to tell the server it is the end of the message**
  - **All mail looks the same**
  -



- **Example: SMTP**

**The following mail is to be sent:**

```
Date: Fri, 18 Jan 02 13:26:31 EDT
From: enpklun@polyu.edu.hk
To: tchsun@eee.hku.hk
Subject: meeting
```

Let's get together Monday at 1pm.



9. TCP/IP

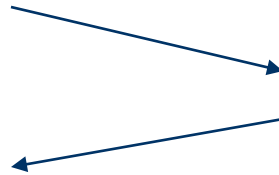
Client



SMTP Server

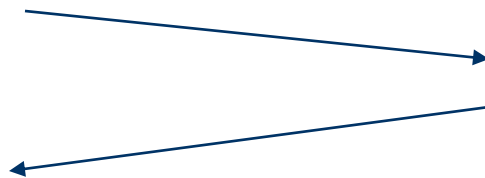


access port 25 of server



220 eee.hku.hk SMTP Service  
at 20 Jan 02 05:17:18 EDT

HELO polyu.edu.hk



250 eee.hku.hk - Hello,  
polyu.edu.hk

MAIL From:  
<enpklun@polyu.edu.hk>



250 MAIL accepted



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Client



RCPT To:<tchsun@eee.hku.hk>



250 Recipient accepted

DATA



354 Start mail input;  
end with .



Date: Fri, 18 Jan 02 13:26:31 EDT  
From: enpklun@polyu.edu.hk  
To: tchsun@eee.hku.hk  
Subject: meeting

Let's get together Monday at 1pm.

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SMTP Server





- **The agreement made in the SMTP protocol**
  - **All messages use normal text**
    - All ASCII characters
  - **The responses all begin with numbers**
    - To indicate the status when receiving the command
  - **Some words are reserved words**
    - HELO, MAIL, RCPT...
  - **Mail ends with a line that contains only a period**
- **The information passed with the SMTP messages**
  - **The recipient name**
  - **The sender name**
  - **The mail**



## C. Domain Name (mentioned before)

- Every computer has a **network address**
  - e.g. 158.132.161.99
- To access a computer, we need to specify its network address
- Human beings are weak in memorizing numbers
- We prefer computer name or **domain name**
  - e.g. hkpu10.polyu.edu.hk
- Need a machine on the Internet to convert name to number





# Domain name hierarchy

## Example:

hkpu10.polyu.edu.hk

Computer name

- The domain within edu.hk
- One of the educational institutions in H.K.

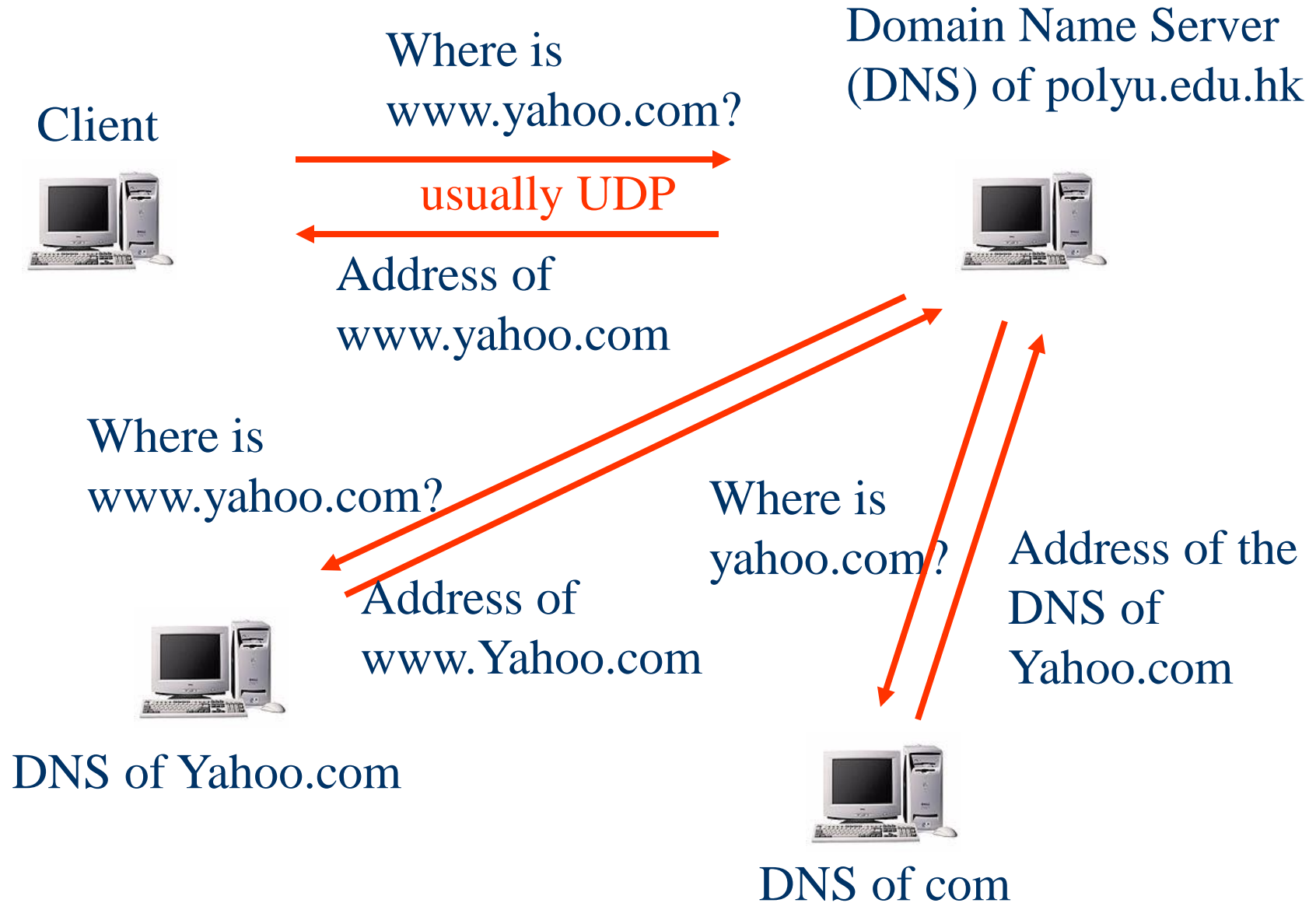
- The domain within hk
- Note: edu.hk is not the same as edu

### Root domain name

- other examples:
- com – commercial company
  - org – general organization
  - net – major network centre
  - gov – government org.
  - mil – military group
  - edu – education org.



- An organization needs to **register its domain name**
  - e.g. **PolyU** has registered its name to the domain of **edu.hk**
- Once a domain name is assigned, the organization is **free to assign other names** belong to its domain
  - e.g. we can have
    - hkpu10.polyu.edu.hk**
    - smtp.polyu.edu.hk**
    - mail.polyu.edu.hk**

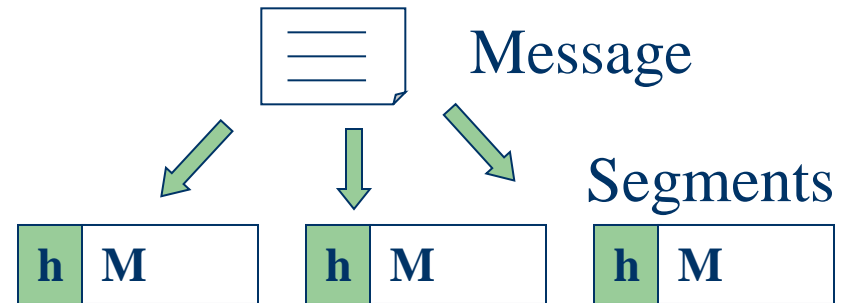
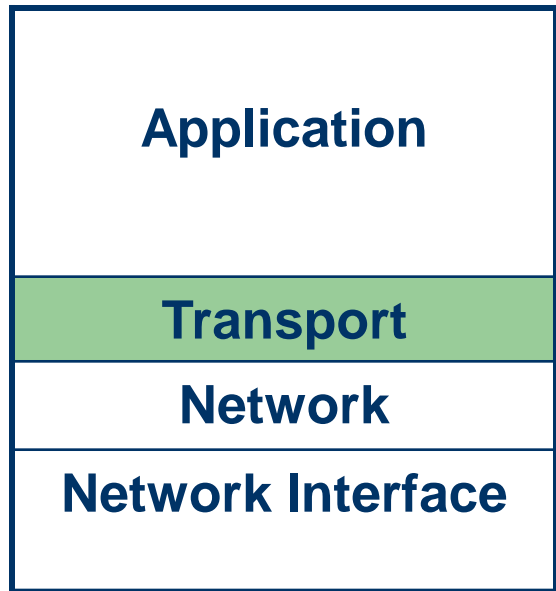




- Nevertheless, such a complicated procedure **needs not perform** in most cases
- Client computers usually **remember** the answers that it got before
- It reduces the loading to the root DNS
- To further reduce loading, there can be many root DNS on the Internet
  - e.g. there are a few “com” root DNS



# Transport Layer





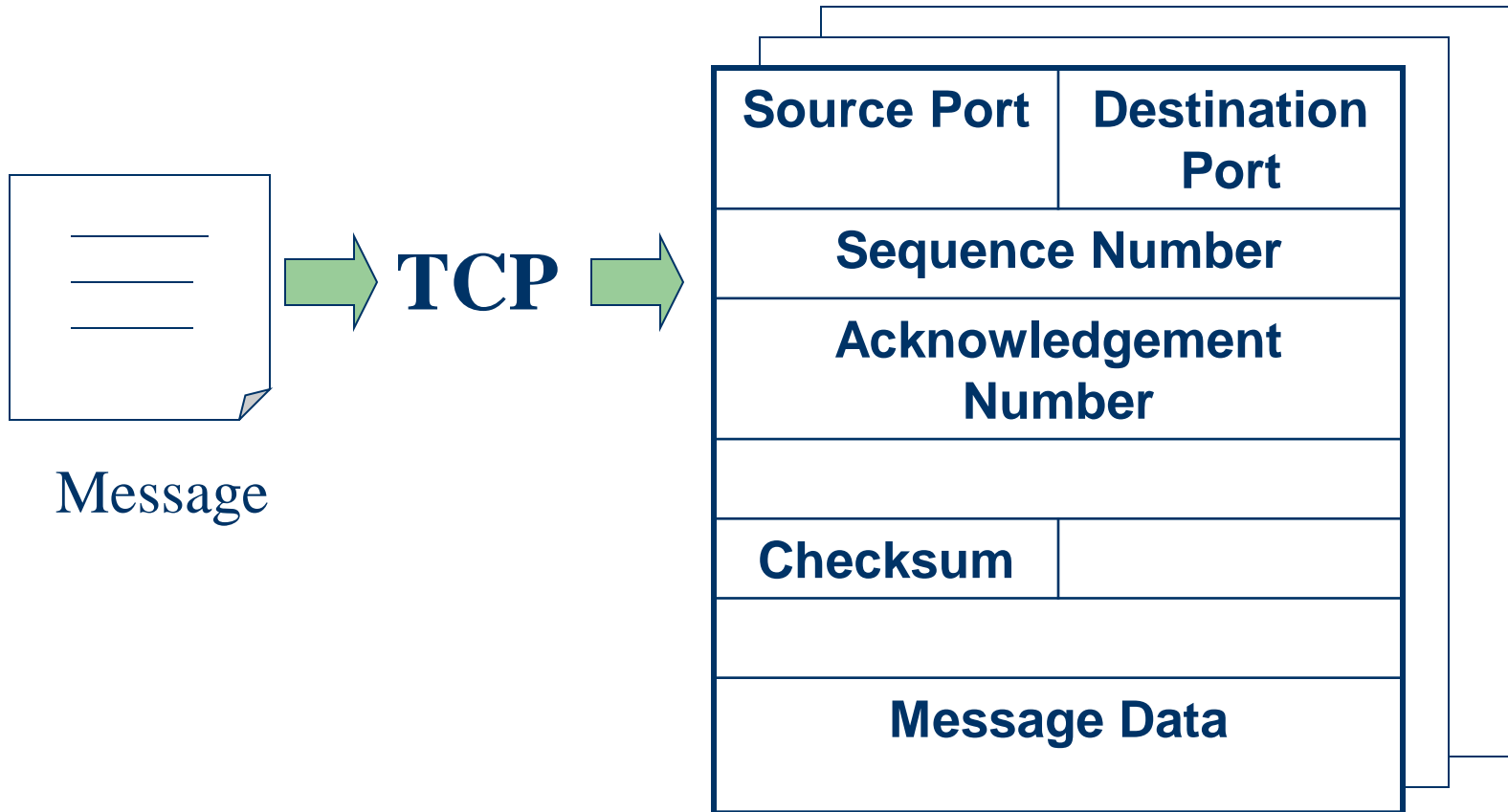
## D. TCP and UDP

### TCP – Transmission Control Protocol

- TCP is a **connection-oriented** protocol
  - Does not mean it has a physical connection between sender and receiver
  - TCP provides the function to allow a connection virtually exists – also called virtual circuit
- TCP provides the functions:
  - **Dividing a chunk of data into segments**
  - **Reassembly segments into the original chunk**
  - **Provide further the functions such as reordering and data resend**
- Offering a **reliable byte-stream** delivery service

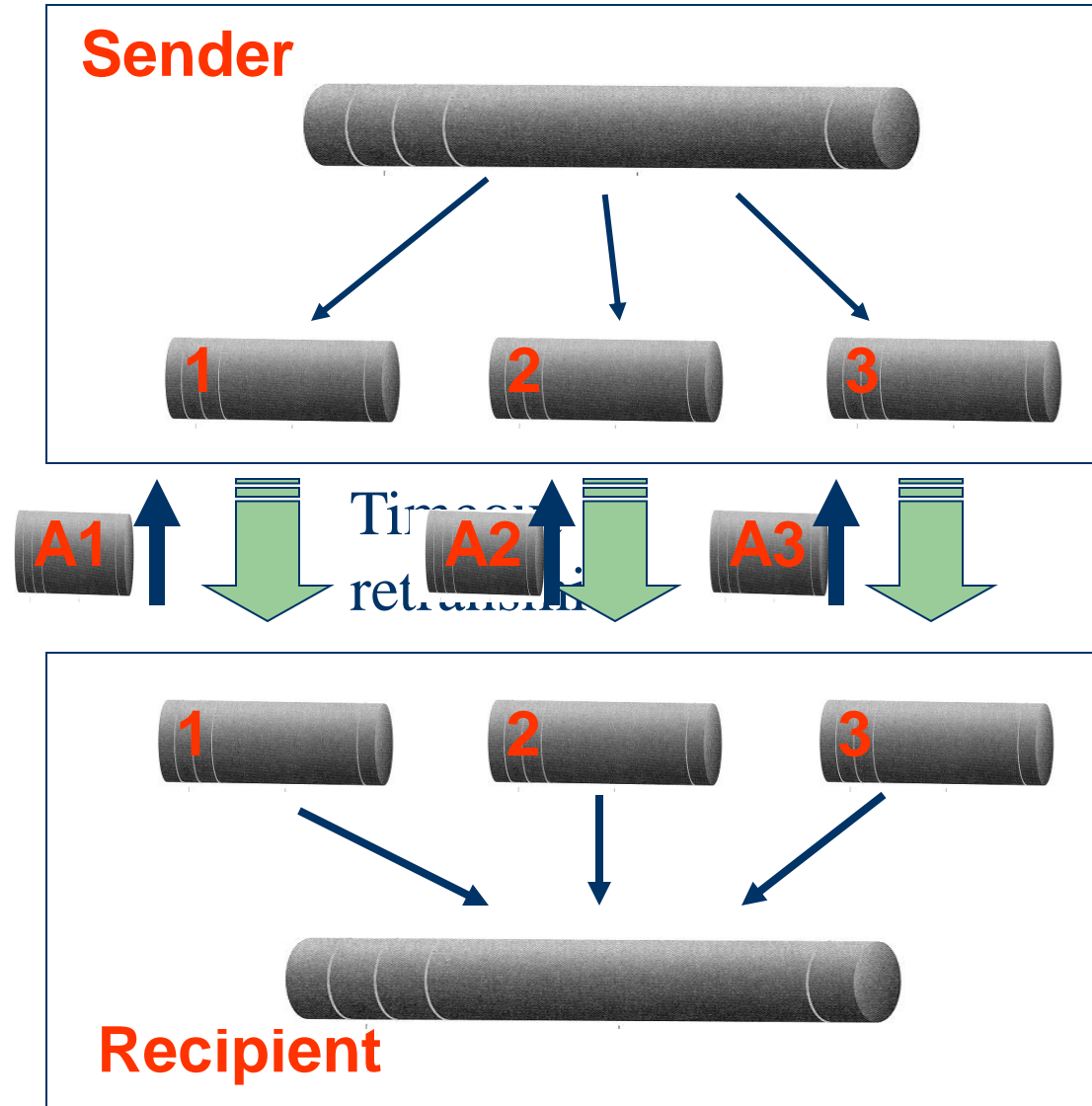


# Dividing and Reassembly





9. TCP/IP







- **A Typical Procedure**

- **Sender**

- TCP divides a message into segments
    - Add sequence no.
    - Send the segments in sequence and wait for acknowledgement
    - If an acknowledgement for a segment is not received for a certain period of time, resend it until an acknowledgement is received

- **Recipient**

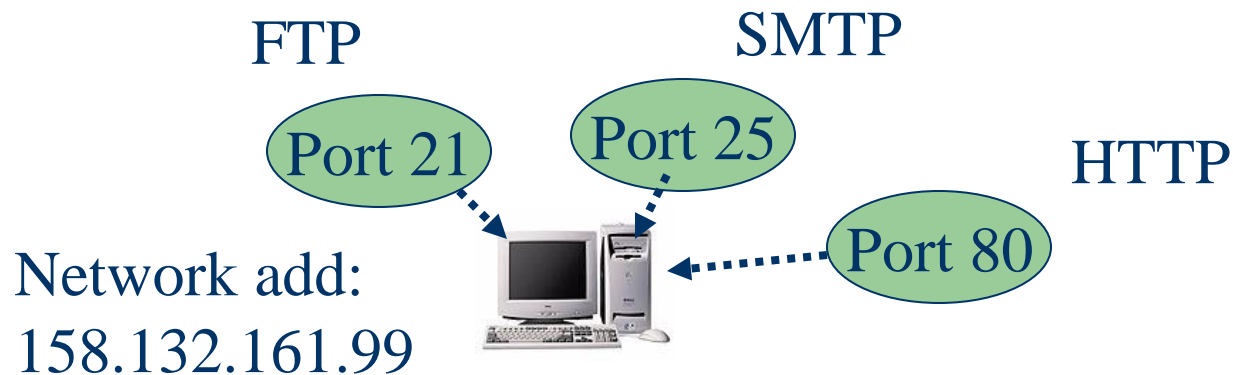
- When receiving segments, send the acknowledgement with correct number
    - Reassembly the segments back to the message



# Port Multiplexing

- A computer may perform a number of network applications at the same time
  - FTP + SMTP + HTTP, etc.
- Each computer has only one network address, how can it serve so many applications at the same time?

⇒ by port multiplexing





## Well-known Port Numbers

- Some port numbers are reserved for some purposes
  - **Port 21**: FTP – file transfer
  - **Port 25**: SMTP – mail transfer
  - **Port 23**: TELNET – remote login
  - **Port 80**: HTTP – Web access
- These port numbers are **well known** to all computers in the network
- E.g. whenever a client access port 25 of the server, it means the client needs SMTP service

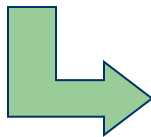


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Client



SMTP port  
= 1357



Located by: network  
address + TCP port  
no.

<b>Source Port = 1357</b>	<b>Destination Port = 25</b>
<b>Sequence Number</b>	
<b>Acknowledgement Number</b>	
<b>Checksum</b>	
<b>Message Data</b>	

SMTP Server

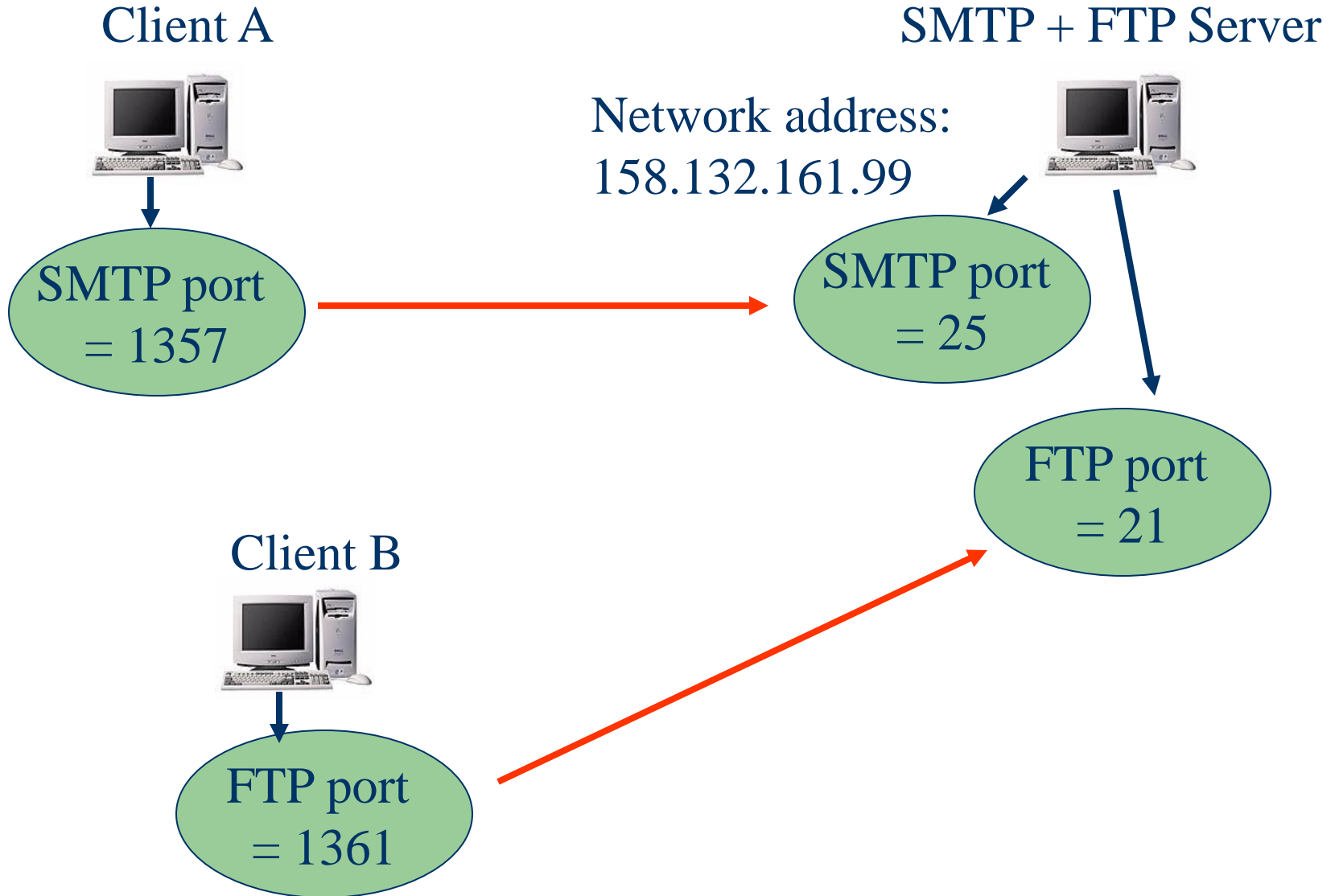


SMTP port  
= 25



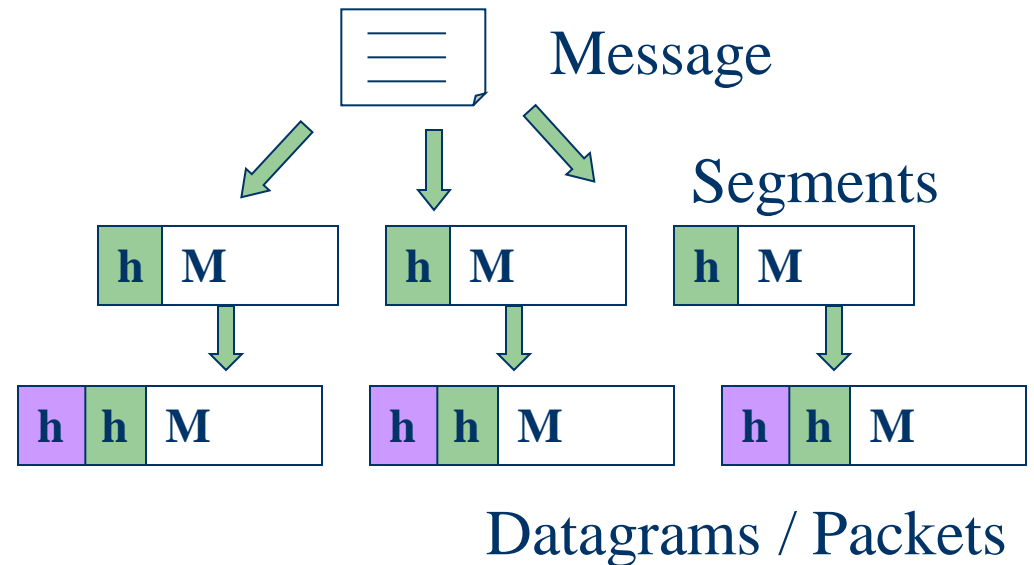
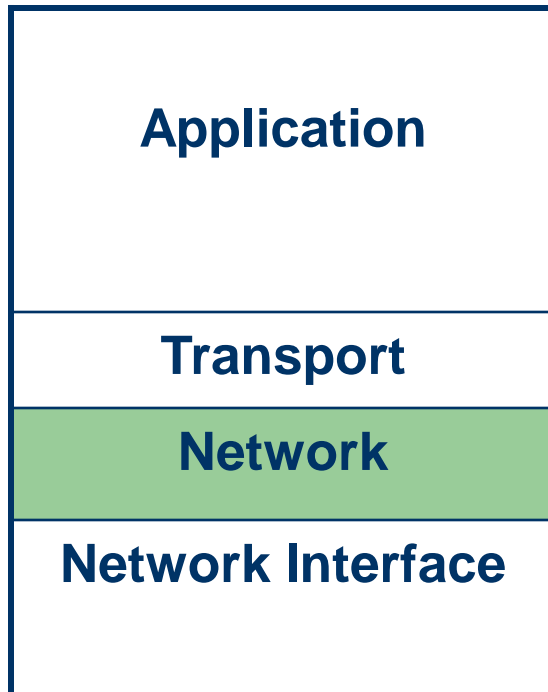


9. TCP/IP





# Network Layer





# E. Network Addresses and Subnets

- A header is added to each segment in the Network layer



		Total Length
Time to Live	Protocol	Header CheckSum
Source Address		
Destination Address		
Segment		



9. TCP/IP

- **Total Length** – Total length of a packet (up to 65535 bytes)
- **Time to Live** – How many times this packet can be routed on the network (up to 255)
- **Protocol** – The transport layer protocol that the packet belongs to
  - TCP: 6
  - UDP: 17
  - ICMP: 1
- **Source address** – the network address of the computer that sends the data
- **Destination address** – the network address of the computer that the data is sending to

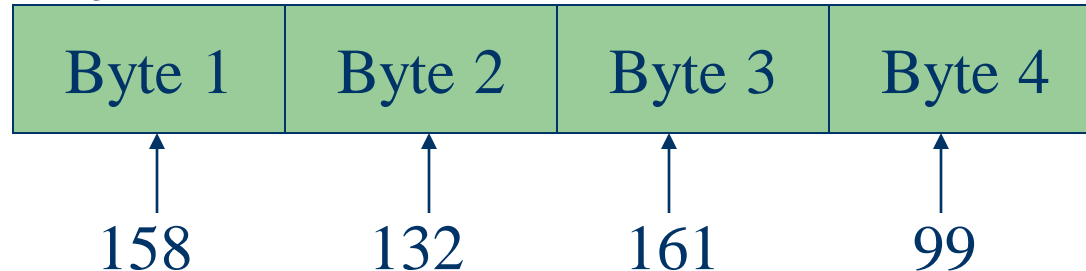




## 9. TCP/IP

- (Already mentioned)
- Each computer (**host**) must have a unique network address (or **IP address** for TCP/IP suite)
- Each IP address is 32-bit long (four bytes)
- The four-byte address is written out as a.b.c.d

- e.g.



- IP addresses are hierarchical
  - **network I.D.** and **host I.D.**
- Each Network I.D. on the Internet needs to be registered to the **Internet Assigned Number Authority**



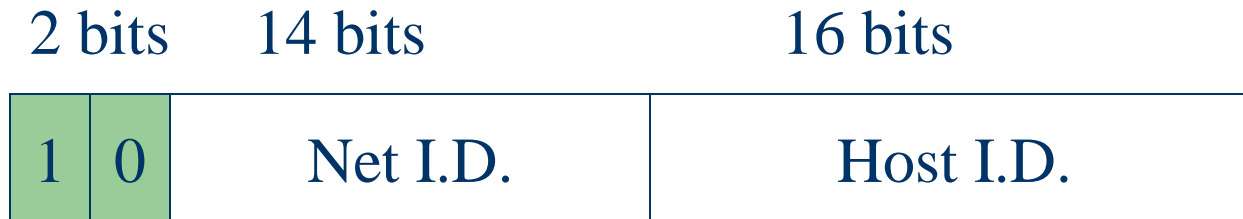
## Class A – for very large network



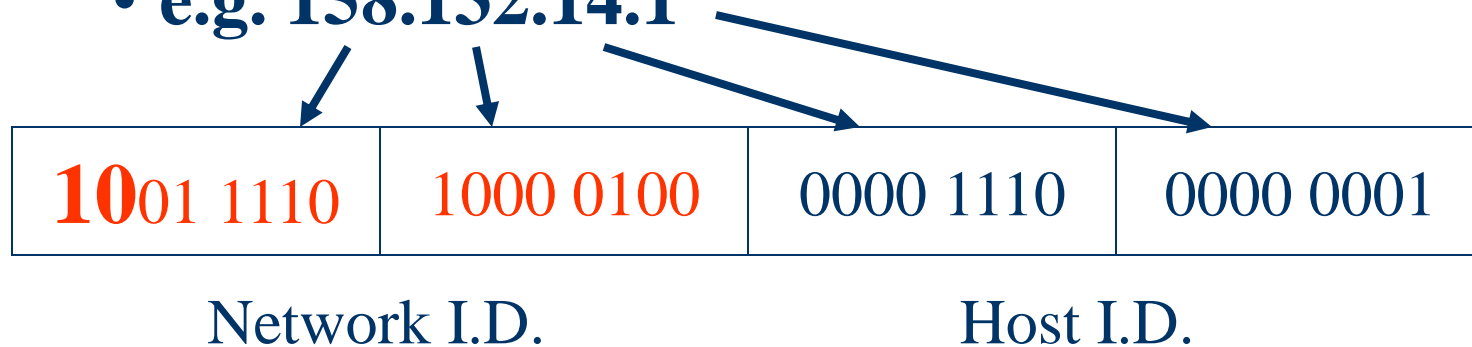
- Only  $2^7$  (63) networks can belong to this class
- Each network, there are  $2^{24}$  hosts or computers
- Very few class A networks in the world
  - e.g. **Arpanet** – the earliest packet switched WAN (started 40 years ago)



## Class B – for medium size network



- $2^{14}$  (16384) networks can belong to this class
- Each network, there are  $2^{16}$  (65536) hosts or computers
- Polyu's address belongs to this group
  - e.g. 158.132.14.1





## Class C – for small network



- $2^{21}$  networks can belong to this class
- Each network, there are only  $2^8$  (256) hosts or computers



# Class D – for multicast network



- Packets are addressed to a multicast group
- Not often supported on Internet



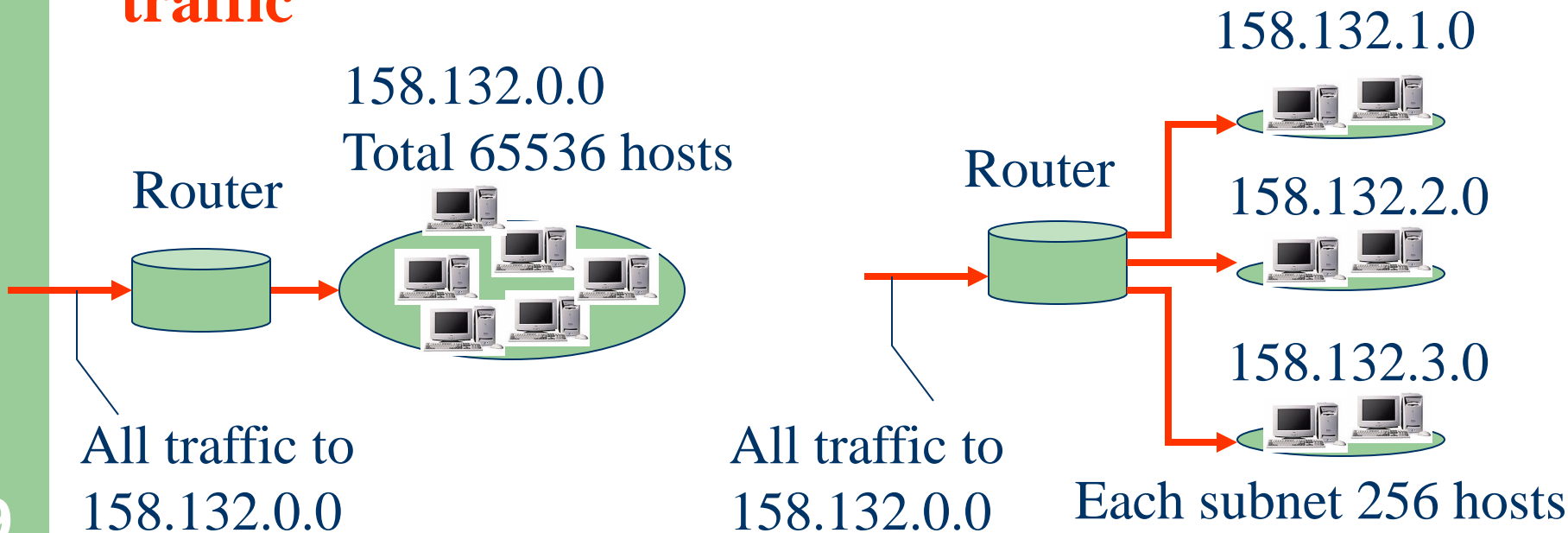
## Special Addresses

- **Host I.D. = all '1's**  $\Rightarrow$  Directed broadcast  
“Broadcast to all hosts in the network or subnetwork”, not assigned
- **Host I.D. = all '0's**  $\Rightarrow$  “This network”, not assigned
- **Network I.D. = 127** is reserved for loopback and diagnostic purposes, not assigned
- **Network I.D. + Host I.D. = all '1's**  $\Rightarrow$  Limited broadcast  
“Broadcast to all hosts in the current network”, not assigned



# Subnets

- A class B address can have **65536** hosts
- **Difficult to manage**
- Usually subdivide into a few small subnets
- Subnetting can also **help to reduce broadcasting traffic**





## Subnet Mask

- How does the router know which subnet a packet should go?
- For each interface of the router, a subnet mask is provided to redefine which part of the address is Net ID and which part is Host ID
- Become **classless** addressing

A subnet mask: 255.255.255.0

1111 1111.1111 1111. 1111 1111. 0000 0000

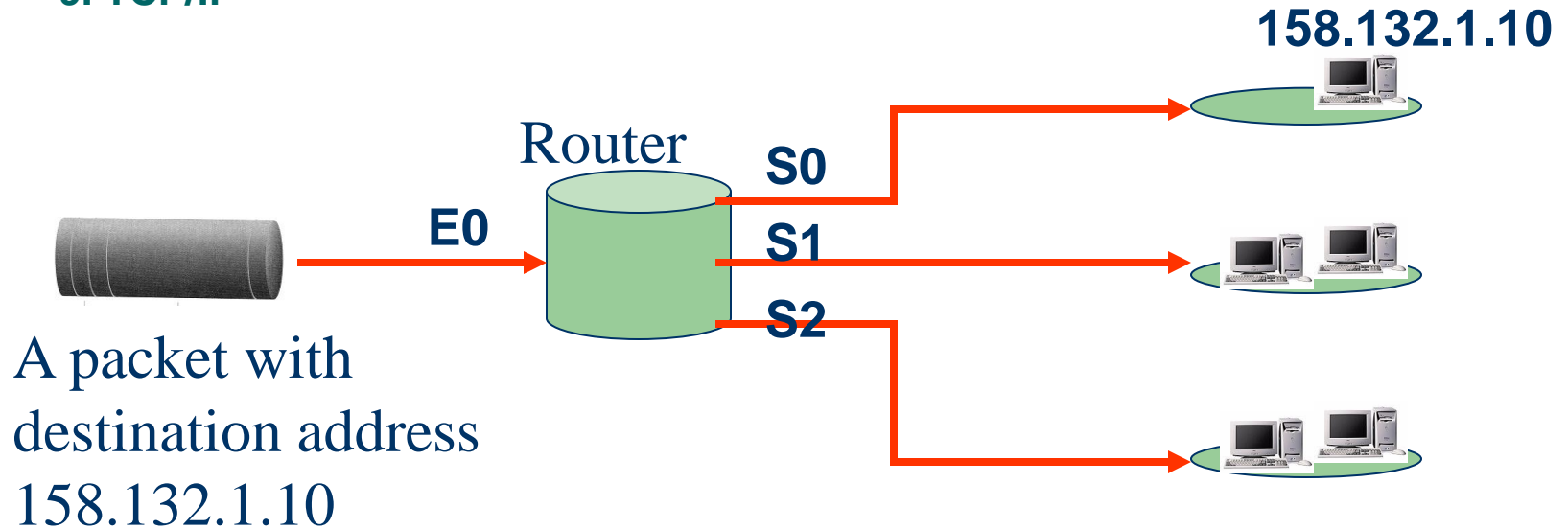
'1's Net ID

'0's Host ID





9. TCP/IP



### Routing Table

	S0	S1	S2
Subnet	158.132.1.0	158.132.2.0	158.132.3.0
Mask	255.255.255.0	255.255.255.0	255.255.255.0

$$\begin{array}{r}
 158.132. 1. 10 \\
 \text{AND } \underline{255.255.255. 0} \\
 \hline
 158.132. 1. 0
 \end{array}$$

$$\begin{array}{r}
 1001\ 1110.1000\ 0100.0000\ 0001.0000\ 1010 \\
 \text{AND } \underline{1111\ 1111.1111\ 1111.1111\ 1111.0000\ 0000} \\
 \hline
 1001\ 1110.1000\ 0100.0000\ 0001.0000\ 0000
 \end{array}$$

**Advantage: easy to compute**



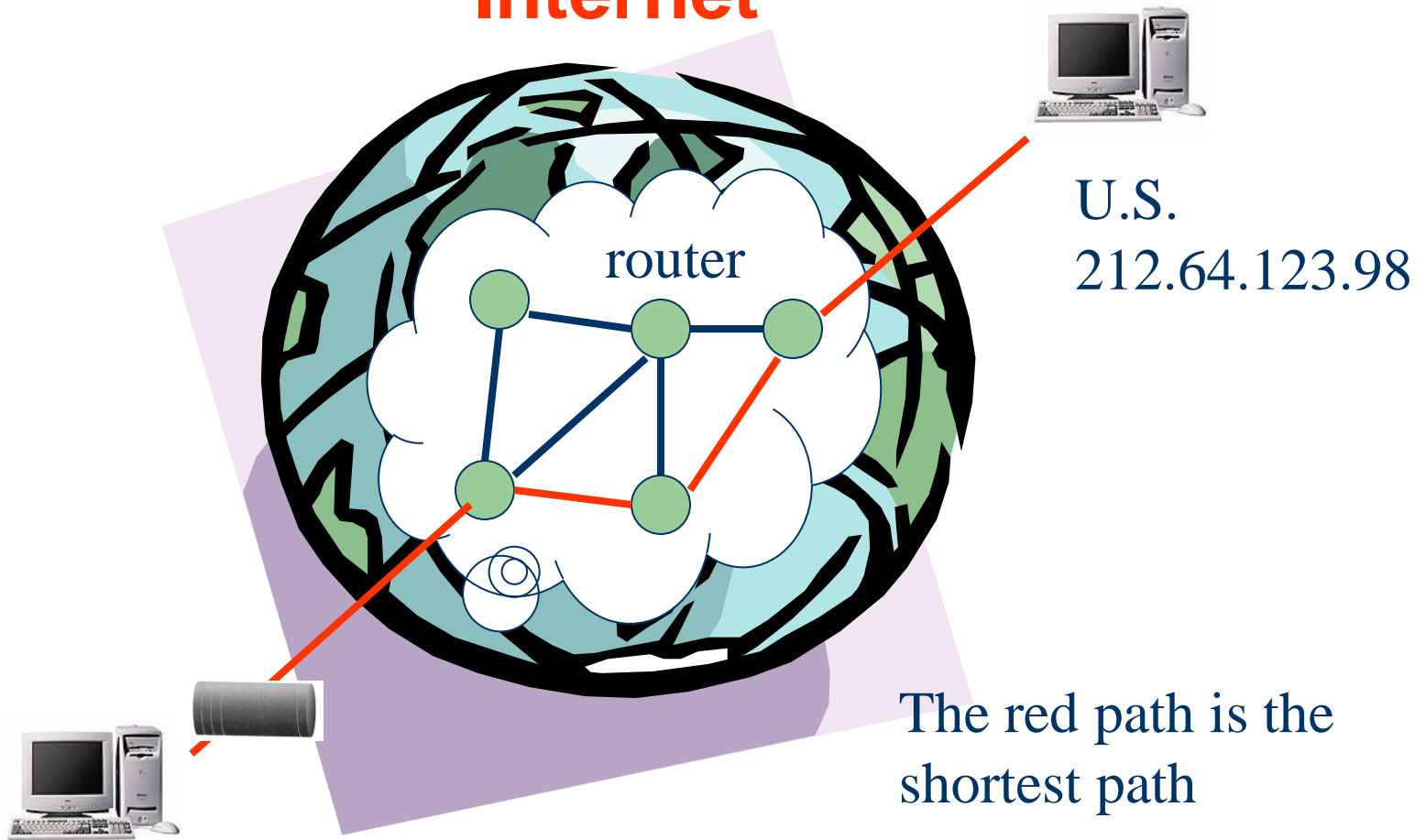
## F. Routing

- How a packet finds its way to a computer in a network?
  - **By using Routers**
- **Routing** is the selection of a path to guide a packet from the source to the destination
- Criteria in selecting a path may be:
  - Shortest path
  - Quickest path
  - Cheapest path



9. TCP/IP

# Internet





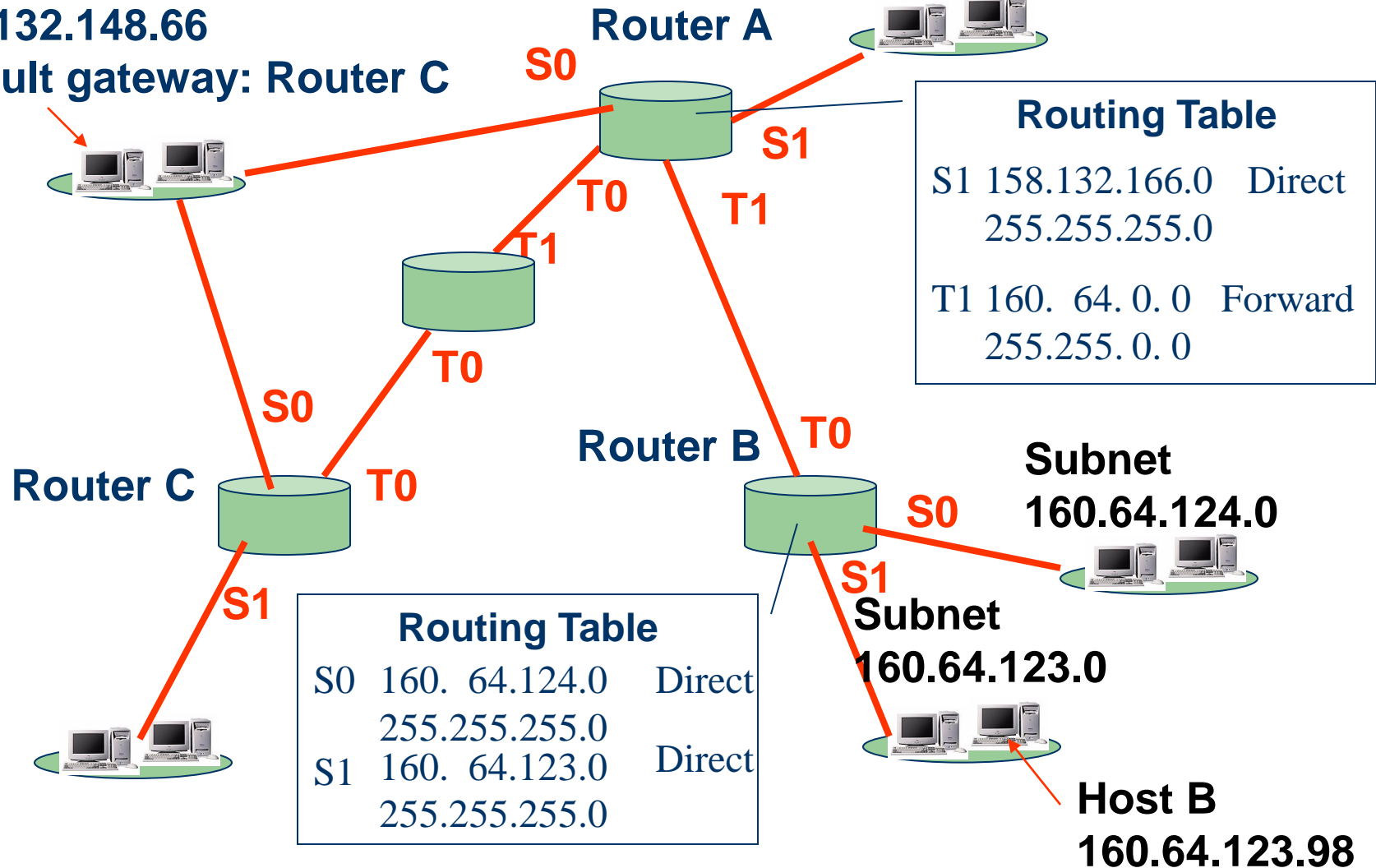
## 9. TCP/IP

- Each router has a **table** that records the estimated distance to all other routers
- If a router knows the entire network topology, the **shortest path** can be calculated
- To achieve this, routers broadcast Link State Advertisement to all other routers periodically
  - By means of **routing protocol**
- Each router knows the exact topology, and then calculates the shortest path
- In practice, it is not possible for a router to all paths. **Only the nearer ones are kept**
  - Hence can give **wrong estimation**



**Host A**  
158.132.148.66  
Default gateway: Router C

**Subnet**  
158.132.166.0



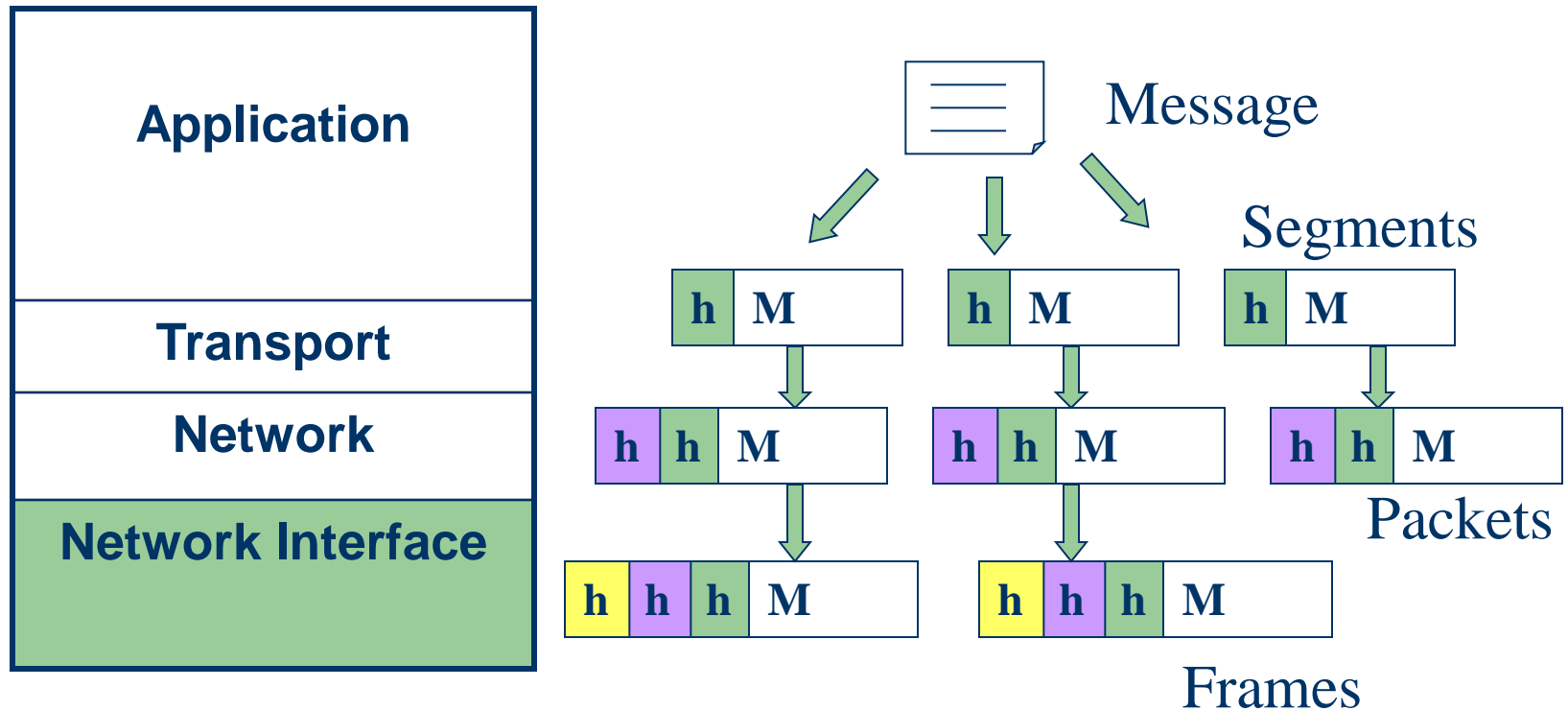


9. TCP/IP

1. **Host A wants to send a packet to Host B with address 160.64.123.98**
2. **Host A checks that 160.64.123.98 is not in the same network**
3. **Send packet to default gateway (Router C)**
4. **Default gateway finds that it cannot provide the best route for the packet, inform Host A to send the packet to Router A next time**
5. **Router C sends the packet to Router A**
6. **Router A checks from the table the packet should forward to Router B**
7. **Router B receives the packet and checks in its table the packet should directly deliver to subnet 160.64.123.0**
8. **Host B (160.64.123.98) receives the packet**



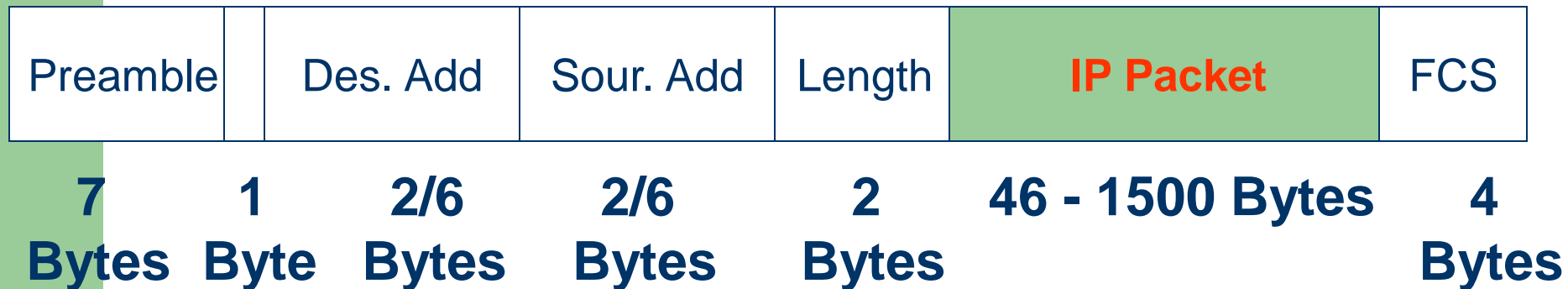
# Data Link and Physical Layers





# G. Ethernet Encapsulation and ARP

- An IP packet should be **encapsulated** into a frame for transmission by data link layer
- e.g. if **Ethernet (or IEEE 802.3)** is used:



**IEEE 802.3 Frame**

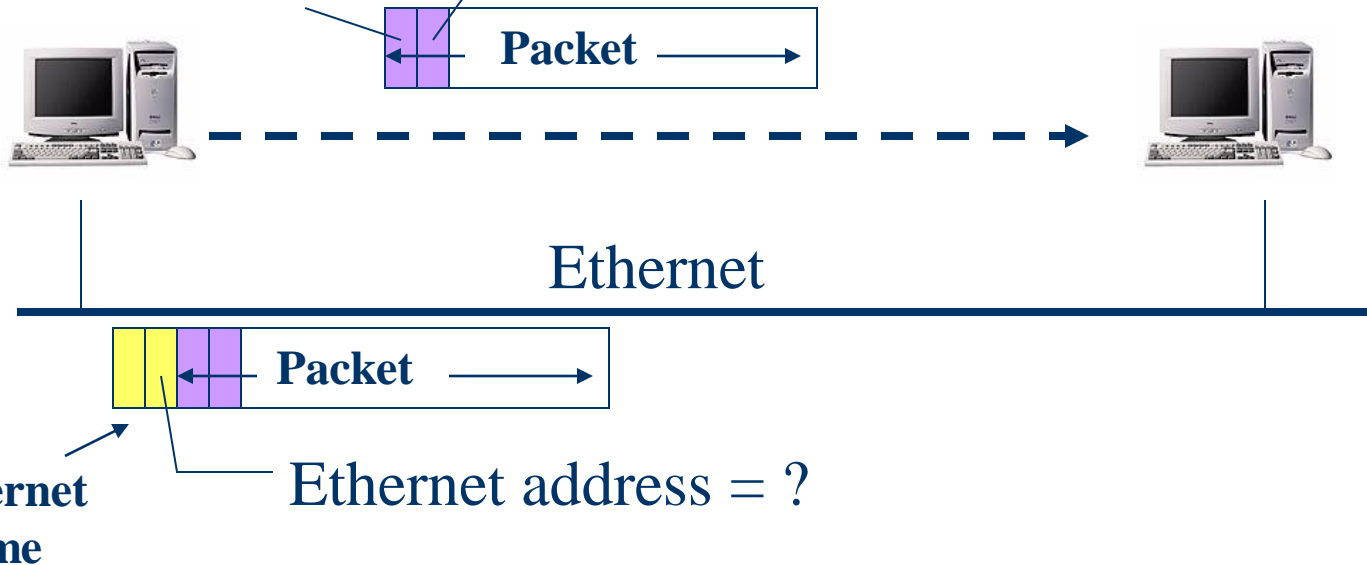




- Only the hardware address (**MAC address**) is unique to a host
- Need to **convert** a network address to **MAC address**

Source IP =  
158.132.148.66

Destination IP = 158.132.148.132





# ARP – Address Resolution Protocol

Case 1

1. Broadcast: Who has got IP address 158.132.148.132? What's your Ethernet address?



2. Reply: I do. My Ethernet address is 00-60-8C-41-37-52



**Ethernet Frame**

Ethernet address = 00-60-8C-41-37-52



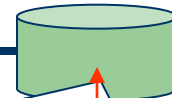
# ARP – Address Resolution Protocol

Case 2

1. Broadcast: Who has got IP address 158.132.148.132? What's your Ethernet address?



Router



2. Reply: The IP you indicated is not in your network. You can give the packet to me first. My MAC address is 00-60-8C-12-34-56

3.

Ethernet Frame

Ethernet address = 00-60-8C-12-34-56



## ARP Cache

- Will have a **heavy traffic** if so many ARP broadcast messages are generated
- Each host will have a **cache** to store the mappings (from IP to MAC address) that were obtained before

IP Address	MAC Address
158.132.148.80	00-60-8C-27-35-9A
158.132.148.28	02-60-8C-1A-37-49

- An entry will only be kept in the cache for a limited amount of time (say, 2 minutes)